

**Detail of Actions taken by Exchange and the Commission against the Brokerage House**

**For the Quarter Ended 31 December 2017**

<b>S.No.</b>	<b>Complaint(s) Against the Brokerage House</b>	<b>Action taken by the Exchange</b>	<b>Action taken by the Commission</b>
1.	Nil	Nil	Nil
2.	Nil	Nil	Nil



**Compliance Officer**



**Chief Executive Officer**